UNITED STATES DISTRICT COURT

WESTERN	DISTRICT OF	NEW YORK

SEC

Defendant EXHIBIT LIST

V.

Grenda GroupLLC/ Gregory Grenda

Case Number: 18-cv-954

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ΓRIAL	Christina Reiss, District Judge				David Stoelting, Barry O'Connell	Joseph Makowski	
TRIAL DATE (S)					COURT REPORTER	COURTROOM DEPUTY	
12/1-12/11/2021 PLF. DEF. DATE			Karen Clark Jennifer Ruddy				
NO. NO. OFFERED MARKED ADMITTED			MARKED	ADMITTED	DESCRIPTION OF EXHIBITS* AND WITNESSES		
	Α	12/2/2021	×	yes	February 1, 2014 Asset Purchase		
	В	12/2/2021	×	yes	February 1, 2014 Promissory Notes		
	С	12/2/2021	×	yes	February 1, 2014 Bill of Sale and Assignment		
	D	12/2/2021	х	yes	Tony Fruge SEC Email 12/10/2014 re: SEC Press Release		
					V		
	Р	12/2/2021	x	yes	Interactive Brokers Email July 27, 2016 to Gre	enda Group re: E-mail verification	
	Q	12/2/2021	х	yes	Frank Magnani July 28, 2016 e-mail to Grend	a Group re: video-transfer of accounts	
	R	12/2/2021	х	yes	Interactive Brokers E-mail July 29, 2016 re: W	/elcome to Interactive Brokers/Onboarding	
	S	12/2/2021	x	yes	Interactive Brokers e-mails August 1, 2016 to	Grenda Group re: Account Approval/Mass Upload	
	U	12/8/2021	х	yes	Gregory Grenda emails to clients August 16,	2016-August 18, 2016 re: Schwab Letter	

^{*} Include a notation as to the location of any exhibit not held with the case file or not available because of size.

SAO 187A (Rev. 7/87) EXHIBIT AND WITNESS LIST – CONTINUATION

	SEC vs. Grenda Group, LLC, Gregory Grend CASE NO. 18-cv-954					
PLF. NO.	DEF. NO.	DATE OFFERED	MARKED	ADMITTED	DESCRIPTION OF EXHIBITS AND WITNESSES	
	Х	12/2/2021	×	yes	Buffalo News Article Walter Grenda December 12, 2014 re: SEC Charges	
	Υ	12/2/2021	x	yes	Buffalo News Article Walter Grenda February 12, 2016 re: FINRA Bar	
	Z	12/2/2021	×	yes	Buffalo News Article Walter Grenda August 30, 2018 re: SEC Lawsuit	
	AA	12/2/2021	×	yes	Consent of Walter Grenda November 6, 2018	
	BB	12/2/2021	×	yes	Final Judgment as to Walter Grenda December 3, 2018	
	СС	12/2/2021	×	yes	Order Instituting Proceedings December 20, 2018 ***	
50		12/7/2021	×	yes	SEC Subpoena (7.26.2013) (See Plaintiff Binder)	
51		12/7/2021	×	yes	SEC Subpoena (5.29.14) (see Plaintiff Binder)	
54		12/7/2014	х	yes	SEC Order 12.10.2014 (see Plaintiff Binder)	
55		12/7/2021	х	yes	FINRA Complaint (See Plaintiff Binder)	
56		12/7/2021	×	yes	Reliance Hearing Notice (also exhibit 114) (see Plaintiff binder)	
117		12/7/2021	х	yes	Reliance, Order Making Findings 7/31/2015 (W. Grenda Bar Order) (See Plaintiff Binder)	
61		12/7/2021	×	yes	FINRA Settlement Order 2.11.16 (See Plaintiff Binder)	

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WESTERN	DISTRICT OF	NEW YORK		
SEC		Defendant WITNESS LIST		
V.				
Grenda Group, LLC, Gregory	/ Grend Cas	Case Number: 18-cv-954		
PRESIDING JUDGE	PLAINTIFF'S ATTORNEY	DEFENDANT'S ATTORNEY		
Christina Reiss, District Judge	David Stoelting, Barry O'Connell	Joseph Makowski		
TRIAL DATE (S)	COURT REPORTER	COURTROOM DEPUTY		
12/1 12/11/2021	Varon Clark	Inmifor Duddy		

PRESI	PRESIDING JUDGE			PLAINTIFF'S ATTORNEY	DEFENDANT'S ATTORNEY	
Christina Reiss, District Judge			David Stoelting, Barry O'Connell	Joseph Makowski		
	TRIAL DATE (S)			COURT REPORTER	COURTROOM DEPUTY	
12/1-12/11/2021					Karen Clark	Jennifer Ruddy
PLF. NO.	PLF. DEF. DATE MARKED ADMITTED			ADMITTED	DESCRIPTION OF EX	HIBITS* AND WITNESSES
		12/8/2021			Gregory Grenda	
		12/7/2021			Walter Grenda	
		12/8/2021			Jack Wesley	
		12/8/2021			Peter Andrews	

^{*} Include a notation as to the location of any exhibit not held with the case file or not available because of size.